DARIAH ERIC 4th Call for Projects for DARIAH Working Groups (2023-2025)

Monday, August 28th, 2023 (updated October 9th, 2023)

DARIAH ERIC is glad to introduce its fourth call for projects dedicated - and open only - to the DARIAH Working Groups (WG). The call acknowledges the strategic role of Working Groups in DARIAH, which represent - according to the *Strategic Plan* 2019-2026 - one of the four pillars of the DARIAH activities.

Timeline and important dates

Deadline	
Submission deadline via <u>Sciencesconf portal</u>	October 24, 2023
Announcement of funding results	December 6, 2023
Start of project activities and spending	From signature of the WG Grant Agreement between budget holding institution and DARIAH ERIC
Final date of project activities	March 31, 2025
Presentation of project results	DARIAH Annual Event 2025
Submission of final project update and financial reports to CIO team	Two months following the DARIAH Annual Event 2025

*Note: submission deadline and announcement of funding results could be subject to changing – this would be communicated via the Sciencesconf portal and the WG Leaders Basecamp. Submission deadline and announcement of results updated 09.10.2023

DARIAH ERIC Funding Programme for Working Group Activities

TERMS & CONDITIONS

1. Goals of the Funding Scheme for Working Group Activities

Funding allocated under this scheme is intended to support the activities of established DARIAH Working Groups that are currently active. It intends to offer practical support for their programmes, encouraging Working Groups to put forward innovative ideas; to seek synergy by collaborating with each other and, in general, to build up capacity to suggest new services, tools or training activities or to help develop and sustain existing ones.

2. Funded activities

In light of the above goals, the scheme is being run against the four DARIAH strategic pillars¹

- Marketplace to facilitate fluid exchange of tools, services, data and knowledge
- Access to Education and Training
- Working Groups, Hubs and other forms of Transnational and Transdisciplinary organisation (**Community Formation**)
- Bridges between research policy and communities of practice (Advocacy and Impact)

Any proposal should mention to which of the pillars the activity will contribute. Given the interrelated nature of the DARIAH research communities, more than one of the above pillars may be referenced in any proposal.

Next to a reference to the DARIAH Strategic pillars, each proposal should choose from one of the following types of activities/output.

- Event organization (general)
- Development of training material (which may also include training events)
- Contribution to Open Science in form of guidelines
- Connect to communities of practice beyond DARIAH
- Contributions around tools and services

Examples for those five types of activities can be found below.

¹ For a detailed description of the DARIAH Strategic Pillars check the Strategic Plan: <u>https://www.dariah.eu/wp-content/uploads/2019/08/Strategic-Plan 2019-2026.pdf</u>

Grants will be issued up to a **maximum of €5 000 per WG**, for activities carried out in the period between the signature of the Grant Agreement by both parties and until March 31, 2025. Two (or more) Working Groups can also apply for a joint project and request a funding grant of **up to €10 000.** The overall budget available in this call is €40 000.

In detail, **ACTIVITIES** eligible for funding are:

2.1 Event Organisation

Events can take the form of online or face-to-face events. Conferences/seminars/ Webinars/workshops/lectures/hackathons/"editathons" are a few examples of event formats. The proposed event will develop around the research field of your Working Group - to disseminate recent outputs or to create new ones. Where your event can be considered a training event, it can also fall under **Development of Training Material** (section 2.2 below) and be published to DARIAH Campus.

2.2 Development of Training Material

Training resources can take many forms. For example, you may choose to develop a short course or module based on your working group's area of expertise that could be aimed at an under-represented community. Equally, training materials could include any workshops you may host, videos of presentations, or guides to any tools you produce as a result of the funding you receive.

You will be required to publish the training resources or event outputs developed as a result of this funding on <u>DARIAH-Campus</u> within 6 months of the end of the funding. Therefore, if your funding application is successful, you are strongly encouraged to get in touch with the Training and Education Officer (<u>vicky.garnett@dariah.eu</u>) as early as possible within your funded period on the best way to do this.

2.3 Contribute to Policies, Best Practices, Case Studies on Open Science in the Arts and Humanities

The call welcomes any kinds of contributions to the open research culture in the Arts and Humanities, including but not restricted to:

- Developing, sustaining, enriching or building communities around open-source research tools and virtual research environments
- Experimenting with innovative open publishing and reviewing methods (e.g. the integration of Jupiter Notebooks; or experiments around reproducibility, reusability)
- Work on standardization protocols, open research workflows
- Addressing barriers to the open sharing of digital scholarly objects (data, papers, tools etc.) in Arts and Humanities

- Cross-sector collaborations with Cultural Heritage institutions and data centers (e.g. improving access to primary sources)
- Increasing the visibility of lesser resourced languages and communities in Digital humanities

2.4 Connect to New Communities of Practice

Projects submitted in this category by the DARIAH working groups may involve research partners (e.g. other Research Infrastructures, academic stakeholders) as well as nonacademic partners like private (e.g. startups, companies etc...), public partners (local stakeholder groups, citizens, municipalities etc...) or other types of partners such as foundations or NGOs.

Granted projects in this category envision cross collaborations between the DARIAH community and other communities that are normally not connected to it, by leveraging on their mutual strengths. Examples of interesting communities of practice to connect to are (but not limited to): art, cultural heritage, health, environment, life sciences, architecture, AI, design.

Activities proposed in this category can take different forms. Examples are (but not limited to): workshops, white papers, presentations, publications, proof of concept, tools.

If you wish to apply for funding in this category, please get in touch with the DARIAH Chief Integration Officer for Working Groups (<u>kim.ferguson@dans.knaw.nl</u>).

2.5 Tools and Services

Two lines of work can be considered for projects submitted in this category:

- Contributions to the SSH Open Marketplace: create new tools & services, new workflows, training materials, or improve the contextualisation of already existing DARIAH services or tools in the SSH Open Marketplace. Contextualisation between items in the SSH Open Marketplace is one of the added value of this discovery portal, and thanks to your expertise, as Working Group, you can help creating relations between items, or even better, create new workflows (a research workflow is a sequence of steps to describe a digital research method).
- Creation, consolidation or developing sustainability plans for existing DARIAH services and tools. The aim here is to identify one or more gaps for existing DARIAH services or tools and to address them (i.e. bring more visibility, use by a different/wider community, new function, etc.) in liaison with the service provider(s) and the related National Coordinators (to ensure their declaration as In-Kind Contribution in the Unified National Reports).

Do not hesitate to contact <u>laure.barbot@dariah.eu</u> with any questions related to this funding category.

3. Evaluation Criteria

Each proposal will be evaluated by two reviewers of the review committee. The review committee is built from members of DARIAH bodies (such as JRC, DCO, NCC and Scientific Board). Conflict of Interest criteria will be applied in the assignment of reviewers. In general, three evaluation categories will be applied: Quality and Impact (40%); Feasibility of implementation (30%), and Alignment with the DARIAH Strategy (30%).

3.1 Quality and impact of the work proposed (weight: 40%)

Evidence will be sought of how the project will:

- Be original and innovative and contribute to new research or advance new research methodologies;
- Impact on the WG's development, in terms of the WG's audiences and development to date;
- Engage in collaborative work with relevant members of the DARIAH central team and/or parties such as related projects or cooperating partners;
- Represent DARIAH and the WG's activities more widely in society, such as through a clearly delineated public engagement or by connecting to private stakeholders.

3.2 Implementation: feasibility in terms of planning, output and budget (weight: 30%)

The implementation and feasibility of the project is evaluated against the following criteria:

- list of planned activities
- list of expected outcomes, e.g., what kinds of training materials, tools and services, contributions to policy and foresight will this project produce and which DARIAHassociated services will be included in dissemination of the outcomes (such as DARIAH Campus, SSH Open Marketplace, etc.)
- breakdown of the costs as provided in section III of the Application Form
- 'value for money' will be an assessment criterion, so we particularly encourage applicants to consider and justify their costs carefully

The planning of the activities should be timely and realistic, and any milestones and project outcomes should be clearly described. The budget (see also section 5) needs to be detailed and well-balanced, and all expenses should have a clear relation to the project's main goals.

3.3 Fit between the work proposed and the DARIAH Strategic Plan (weight: 30%)

Project proposals are expected to make a relevant contribution to the development of DARIAH as a whole, in line with the strategic development of DARIAH as an ERIC. The proposed projects will therefore also be evaluated against their fit to the Strategic Plan¹.

In particular, in the evaluation of applications, any practical commitments to the open research culture, such as the use of open workflows, open standards, open-source environments, making outputs openly accessible also in terms of multilingualism, reusing and building on top of already existing resources, blogging and aiming for rich documentation will be an advantage.

Please consult the past funded projects from the 2019² or 2021³ call to get a more tangible impression of previously awarded proposals.

4. Eligibility of Applications

4.1 Project lead

Applications are invited on behalf of one of the **established**, **active DARIAH Working Groups**⁴ and must be submitted by one of the Working Group's coordinators. Although proposals may be consortial (e.g., collaborations between two or more Working Groups, or external partners), one individual (a DARIAH Working Group coordinator) must be named as project lead, and be accountable for financial and technical reporting via their institution.

4.2 Multiple applications

Each Working Group can apply as lead applicant <u>once per grant round only</u>. In addition to acting as the main applicant, the Working Group may be a partner in one or more other applications.

4.3 Activity and integration

WGs must be able to evidence, at the time of application, recent activity and integration with DARIAH, i.e., providing required reports, being active in the last DARIAH Annual Event, etc.

² Winning WG Funding proposals 2019-2020 <u>https://www.dariah.eu/2019/11/25/dariah-working-groups-funding-scheme-2019-2020-meet-the-winning-projects/</u>

³ Winning WG Funding proposals 2021-2023 <u>https://www.dariah.eu/2022/05/23/dariah-working-groups-funding-scheme-2021-2023-meet-the-winning-projects/</u>

⁴ List of active and past Working Groups <u>https://www.dariah.eu/activities/working-groups-list/</u>

5. Budgets

The budget available under this programme can be used for activities carried out from the signature of the Grant Agreement by both parties and until March 31, 2025. The budgets need to be thoroughly justified, detailed and realistic. Budgets that are vague or notional will impact adversely both upon the evaluation of the application as a whole, and upon the level of funding granted to a successful application. The funding could either be used as a stand-alone grant or as matching funding.

5.1 Budget Guidelines

Each proposal will come with a detailed budget proposal also subject for evaluation (see 3.2.). In the case a proposal is approved, a grant agreement will be signed between DARIAH and the institution of the WG member leading the grant application. The WG member leading the grant application must ensure that his or her institution has full legal capacity to sign the grant agreement and accepts the responsibility to administer the grant. Allocation of funds will have to comply with the budget principles of this institution (including travel guidelines), but will be cross-checked by DARIAH (see Funding Grant Agreement).

5.1.1. Research and Development activities: The granted budget can be used to cover the expenses related to hiring temporary research or professional expertise (e.g., a research assistant, designer or developer for a specific task related to the research proposal). Staff exchange and internships (lab visit, temporary delegation, see COST Short-Term-Scientific Missions as examples) can also be funded. Conflicts of interests, such as personal or familial relationships with personnel must be declared and may result in exclusion.

5.1.2. Conferences/seminars/workshops/lecture series: Travel funds should not be used for ordinary WG meetings, but instead should be clearly related to the submitted research proposal. Travel costs for invited speakers are covered. Flights must be economy class. Travel bookings must be made well in advance of the date of the event to secure the best value possible. Please ensure that speakers are aware that if they book their own flights they will not be reimbursed until the travel has been completed. Hotel accommodation costs vary greatly depending on the location of the hotel you are booking and the date of the stay, weekends for example are often more expensive than weekdays.

Please note that travel to DARIAH Annual Events cannot be covered using this grant - a specific WG Travel Envelope will be made available for each Annual Event for that purpose.

Comment regarding travel:

The transport sector causes substantial negative impacts on the environment. DARIAH is therefore committed to contributing to good practices in business travel.

We strongly encourage to respect the following provisions:

- Consider travelling by train rather than by plane (wherever possible) and use public transportation.
- Favour accommodation that aim to reduce its environmental impact by employing sustainable best practices in maintenance, services and supply chains (e.g. <u>EU Ecolabel</u>).

5.1.3. Promotional materials and web development: Detailed planning and justification of costs are requested.

5.1.4. Personnel: Please note that personnel costs of permanent staff are not eligible. A small amount of costs related to administrative support (overheads) are eligible to a maximum of 8% of the budget.

5.1.5. Other potential costs: Any other types of cost not explicitly mentioned by the foregoing provisions, need to be approved by the DARIAH team before the start of the project.

5.2 Unspent Budget

In the event that the project coordinator foresees or observes unspent budget toward the end of the project, they will contact the CIO team immediately and not later than 30 days prior to the end of the project.

5.3 Changes to the initial work program or/and budget

In the event that the project coordinator a) foresees changes to the original work plan, or b) must deviate from the original budget plan, the project coordinator must contact the CIO team immediately in order to approve the changes or deviations before they are implemented.

6. Grant extension

Since we aim to present the results of the selected/funded projects during the DARIAH Annual Event 2025, it is not possible to grant extension to the funded projects. In the case the awarded project is not concluded in time to be presented at the DARIAH Annual Event 2025, DARIAH may be obliged to cancel the grant or seek recovery of the funding.

DARIAH will evaluate the possibility to grant an extension only in the case of unforeseeable circumstances that prevent the DARIAH WG from completing the funded project within the original timeline.

7. Collaboration with DARIAH

DARIAH staff, in particular the DCO team and specifically the DARIAH Strategic Pillar Heads, will be happy to assist with the promotion and planning of events and activities where they are able to contribute, for instance, though promotion of events or outputs, or via technical support when applicable.

8. Crediting DARIAH

Funded projects are required to display the **DARIAH logo**⁵ on any resulting publications or at any resulting events and on related publicity material. Where editorial policies mean that logos cannot be displayed, explicit acknowledgement of the funding source should be made using the standard sentence: **This work has been supported by the DARIAH ERIC as part of the 2023 Working Group funding round.**

9. Reporting

In addition to the reporting structure outline below, all successful projects should track the number of people involved in the project. All funding awarded through DARIAH will be subject to the following reporting procedures:

9.1 Presentation of project's results

The outcomes of the granted projects will be presented during the DARIAH Annual Event 2025, which will take place in the spring 2025 (exact date and place to be determined).

9.2 A report is due upon successful completion of the project

This will consist of (1) an overview of the project's outcomes, as well as (2) a justification of funding expenditure. The templates for both reports will be provided to successful applicants as Annexes C and D to the Grant Agreement. **Both forms should be submitted to the DARIAH CIO team via dariah-cio@dariah.eu**.

The deadline for the submission of the report is 2 month (60 days) after the presentation at the DARIAH Annual Event 2025.

9.3 Cancellation

Failure to file this report by the required date, or the filing of an unsatisfactory report, may oblige DARIAH to cancel the grant or seek recovery of money and may lead to the WG being excluded from any future funding schemes run by DARIAH. Furthermore, consistent

⁵ Logo resources available here: <u>https://www.dariah.eu/about/documents-list/</u>

delay or missing communication with the CIO team may also cause the annullation of the grant.

9.4 Making project results openly available

As specified above, any outputs that could be considered training resources (including event outputs) or tools and services, should be published on either DARIAH Campus or the SSH Open Marketplace. Where a project's outputs do not fall into these categories, following DARIAH's Open Access Guidelines (HAL ID: <u>halshs-02106332</u>), successful applicants are required to openly share both their outputs (together with rich documentation) and their project reports either as part of the ZENODO DARIAH community⁶ or the DARIAH HAL collection⁷ under a <u>CC-BY 4.0. license</u> (unless legal, ethical reasons suggest otherwise). Reference to these publications should be included in the reporting documentation to DARIAH.

10. Application Deadline

Applications (in electronic format) should be submitted to the DARIAH CIO team by October 24th, 2023. All applications must be submitted via https://dariah-wg-2023.sciencescall.org. Applications that are late or received in an incomplete state will not be considered for funding.

11. Appeals

Appeals will be entertained on the basis of procedural grounds only rather than on the merits of the application itself.

12. Further information

For further information contact the DARIAH CIO team via <u>dariah-cio@dariah.eu</u>.

⁶ DARIAH Zenodo Community <u>https://zenodo.org/communities/dariah/</u>

⁷ DARIAH HAL Collection <u>https://hal.science/DARIAH</u>